



Attorney Docket No. 1405.1037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre Patent Application of:

Minoru SAITO, et al.

Confirmation No.: 8843

RECEIVED

MAR 25 2004

Application No.: 09/804,038

Group Art Unit: 2161

Technology Center 2100

Filed: March 13, 2001

Examiner: Unassigned

For: HEALTH CARE INFORMATION SYSTEM

RECEIVED

MAR 30 2004

GROUP 3

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a.  Form PTO-1449.
- 1b.  Copies of IDS citations.
- 1c.  An English language copy of search report(s) from a counterpart foreign application or a PCT International Search Report.
- 1d.  English language translation (abstracts only) attached to each non-English language publication.
- 1e.  Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of each non-English publication.
- 1f.  List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g.  List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2.  This Information Disclosure Statement is filed under 37 CFR §1.97(b):

*(Check either Item 2a or 2b or 2c or 2d)*

- 2a.  Within three months of the filing date of a national application other than a Continued Prosecution Application under § 1.53(d);
- 2b.  Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- 2c.  Before the mailing of a first Office Action on the merits; or
- 2d.  Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

3.  This Information Disclosure Statement is filed under 37 CFR § 1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND

*(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)*

3a.  The §1.97(e) Statement in Item 5 below is applicable; OR  
3b.  The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:  
     enclosed.  
     to be charged to Deposit Account No. 19-3935.

4.  This Information Disclosure Statement is filed under 37 CFR §1.97(d) after the period specified in paragraph 3 above, but on or before payment of the Issue Fee, AND

4a.  The § 1.97(e) Statement in Item 5 below is applicable; AND  
4b.  The \$180.00 fee set forth in 37 C.F.R. §1.17(p) is:  
     enclosed.  
     to be charged to Deposit Account No. 19-3935.

5.  Statement under § 1.97(e) (*applicable if Item 3a or Item 4a is checked*)

*(Check either Item 5a or 5b)*

5a.  In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

5b.  In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6.  This is a continuation/divisional/continuation-in-part application under 37 CFR § 1.53(b).

*(Check appropriate Items 6a and/or 6b)*

6a.  Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).

6b.  Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No. \_\_\_, filed on \_\_\_, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

7.  This is a continuation/divisional application under 37 CFR § 1.53(d) or a Request for Continued Examination under 37 CFR 1.114.

*(Check either Item 7a or 7b)*

7a.  The Issue Fee has not been paid.

7b.  A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A continuation/divisional application under 37 CFR § 1.53(d) or a Request for Continued Examination under 37 CFR 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.53(d)(1)(ii) or 37 CFR 1.114(a), respectively.

8.  This is a Supplemental Information Disclosure Statement.

*(Check either Item 8a or 8b)*

8a.  This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on \_\_\_. A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on \_\_\_.

8b.  This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR § 1.97 and 1.98, mailed \_\_\_.

9.  In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

*(Check appropriate Items 9a, 9b, 9c and/or 9d)*

9a.  satisfied because all non-English language publications were cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed.)

9b.  set forth in the application.

9c.  satisfied because an English language translation (abstracts only) is attached to each non-English language publication.

9d.  enclosed as Attachment 1(e), hereto.

10. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

By: David M. Pitcher  
David M. Pitcher  
Registration No. 25,908

Dated: March 23, 2004  
1201 New York Ave., N.W., Suite 700  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

**ATTACHMENT 1(e)**
**EXPLANATIONS OF RELEVANCY  
OF REFERENCES**

ATTORNEY DOCKET NO.	APPLICATION NO.
1405.1037	09/804,038
FIRST NAMED INVENTOR	
Minoru SAITO, et al.	
FILING DATE	GROUP ART UNIT
March 13, 2001	2161

Reference AG - as presently advised, Reference AG discloses a system to display appointment information which can be input, store input appointment information and automatically administer revisit appointment information.

Reference AH - as presently advised, Reference AH discloses a medical treatment record book management system (electronic case record system) to connect a medical treatment room and the other rooms by an electronic appliance, but it is not a system to enable a search of a medical institution on-line.

Reference AI - as presently advised, Reference AI discloses an invention concerning a device and system for transmitting reference letters for patients between different hospitals. This invention discloses a method that a reference letter is read into an image data and is transmitted between hospitals.

Reference AJ - as presently advised, Reference AJ discloses a method to search a doctor database in response to a request for a doctor information transmitted from a terminal for a patient, send a search result to the terminal for a patient that made the request, register a correlation between a patient and a doctor in accordance with doctor selection information transmitted from the terminal for a patient and an approval from the selected doctor, and gives the doctor an access to an electronic case record. This method enables an online medical examination and treatment via a wide area network.

In the invention in this cited reference, the patient accesses and searches the doctor database using the patient terminal and obtains information of a clinic to be introduced, but does not disclose searching a referral medical institution in accordance with patient information based on a diagnosis result at a referee medical institution.

Reference AK - as presently advised, Reference AK discloses a system to specify a treatment section for a patient based on a patient's condition and other information, to search an appropriate medical institute and to display a location of that medical institute. The system in this cited reference does not store and administer patients' diagnosis information in a database such as in an electronic case record.

**RECEIVED**
**RECEIVED**
**MAR 25 2004**
*Technology Center 2100*
**GROUP**

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1405.1037	APPLICATION NO. 09/804,038
O I P E LIST OF REFERENCES CITED BY APPLICANT MAR 23 2004 (Use several sheets if necessary)		FIRST NAMED INVENTOR Minoru SAITO, et al.	
		FILING DATE March 13, 2001	GROUP ART UNIT 2161

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	5,911,687	06/15/99	Sato et al.			
	AB						
	AC						RECEIVED
	AD						MAR 25 2004
	AE						Technology Center 2100
	AF						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AG	08-022502	01/23/96	Japan			abstract
	AH	08-235258	09/13/96	Japan			abstract
	AI	09-153096	06/10/97	Japan			RECEIVED abstract
	AJ	09-135816	05/27/97	Japan			MAR 30 2004 X
	AK	11-353324	12/24/99	Japan			abstract
	AL						

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

TRANSLATION YES	NO
--------------------	----

AM		
----	--	--

EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.